STATE OF DELAWARE
SINGLE POINT OF CONTACT – SPOC
INTERGOVERNMENTAL REVIEW OF FEDERAL PROGRAMS
Office of Management and Budget
Haslet Building, 3rd Floor, Dover, Delaware 19901
(302) 739-4206

1. STATE APPLICATION IDENTIFIER:	SPOC use ONLY	Month	Reviewer	CC's
09-03-24-05		05	EF	

2. Applicant Project Title: IDEA – Preschool American Recovery and Reinvestment Act of 2009

3. Applicant Department: Delaware Department of Education

4. Applicant Division/APU: 950101

5. Applicant Address: 401 Federal Street Suite 2, Townsend Building, Dover DE 19901

6. Contact Person: Tammy Korosec

7. Contact Person's Phone Number: 302 735-4045

8. Signature of Secretary or Agency Head (for state agencies) or Chief Administrator (for all other applicants)

Nancy Wilson

9. Federal Grantor Department: US DOE –ARRA

10. Federal Sub-Agency:

11. Federal Contact Person: Ruth Ryder

12. Phone Number: 202 245 7629

13. Address: US Department of Education 400 Maryland Avenue, S.W. Washington DC 20202-6132

14. Federal Program Title:

IDEA – Preschool - ARRA

15. FEDERAL CATALOG NO: (CFDA)

84 . 173 84.392(?)

16. Project Description:

See Attached

17. Will funds be utilized for any technology initiatives? ☐ Yes ☒ No If so, Business Case Number and brief project summary:

18. Measurable Objectives:
a. What were last year's objectives?

n/a

b. Were these objectives met? (If not, please explain why)

n/a

c. What are this year's objectives?

n/a

(If more space is needed, please attach a separate sheet of paper)

Spreadsheet 4/2/09

3/21/09

19. Grant Period:	20. How many years has this project been funded:	21. If the project was funded last year, how much federal money was awarded?
From: *3/1/09* To: *12/31/11*	*0*	*0*

22. Source of funding for this application:	Dollars
a. Federal grant	1,332,738
b. Other federal funds (Specify)	
c. Required state contribution **(Specify source of funding)**	
d. Discretionary state contribution **(Specify source of funding)**	
e. Required local contribution	
f. Other non- federal funds (Specify)	
TOTAL	1,332,738

23. Budget by cost category and source::	Federal Funds	State Funds	Other Funds	Total Funds
Salaries & Fringe Benefits				
Personal or Contractual Services				
Travel				
Supplies & Materials				
Capital Expenditures				
Audit Fees				
Indirect Costs:				
Other – LEA pass through	1,332,738			1,332,738
TOTAL	1,332,738			1,332,738

24. How many positions are required for the project?

Breakdown of position(s)	Authorized in State Budget	New Positions Required	Total
Paid for out of federal funds			
Paid for out of General Funds			
Paid for out of state special funds			
Paid for out of bond/local/other funds			
TOTAL			

25. PLEASE NOTE: On a separate piece of paper, please give position number, grade, yearly salary and percent of funding (federal, state, local, other) and the full-time equivalent for all positions required. Please identify the new positions by placing an asterisk before the position title. If this grant funds positions within other departments, divisions and/or offices, please list them.

AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
IDEA RECOVERY FUNDS FOR SERVICES TO CHILDREN AND YOUTHS WITH DISABILITIES

The *American Recovery and Reinvestment Act of 2009* (*ARRA*) appropriates significant new funding for programs under Parts B and C of the *Individuals with Disabilities Education Act* (*IDEA*). Part B of the *IDEA* provides funds to state educational agencies (SEAs) and local educational agencies (LEAs) to help them ensure that children with disabilities, including children aged three through five, have access to a free appropriate public education to meet each child's unique needs and prepare him or her for further education, employment, and independent living.

The *IDEA* recovery funds under *ARRA* will provide an unprecedented opportunity for states, LEAs, and early intervention service providers to implement innovative strategies to improve outcomes for infants, toddlers, children, and youths with disabilities while stimulating the economy. Under the *ARRA*, the *IDEA* recovery funds are provided under three authorities: $11.3 billion is available under Part B Grants to States; $400 million is available under Part B Preschool Grants; and $500 million is available under Part C Grants for Infants and Families. Preliminary information about each state's allocation is available at: http://www.ed.gov/about/overview/budget/Statetables/recovery.html. This Web site also provides information about the State Fiscal Stabilization Fund (SFSF) under the *ARRA*, which is separate from the *IDEA* recovery funds described in this fact sheet. This document focuses on Part B; additional information on Part C will be available shortly.

IDEA, Part B recovery funds are a key element of the ARRA principles as described below:

Overview of *ARRA*

Principles: The overall goals of the *ARRA* are to stimulate the economy in the short term and invest in education and other essential public services to ensure the long-term economic health of our nation. The success of the education part of the *ARRA* will depend on the shared commitment and responsibility of students, parents, teachers, principals, superintendents, education boards, college presidents, state school chiefs, governors, local officials, and federal officials. Collectively, we must advance *ARRA*'s short-term economic goals by investing quickly, and we must support *ARRA*'s long-term economic goals by investing wisely, using these funds to strengthen education, drive reforms, and improve results for students from early learning through college. Four principles guide the distribution and use of *ARRA* funds:

a. **Spend funds quickly to save and create jobs.** *ARRA* funds will be distributed quickly to states, LEAs and other entities in order to avert layoffs and create jobs. States and LEAs in turn are urged to move rapidly to develop plans for using funds, consistent with the law's

reporting and accountability requirements, and to promptly begin spending funds to help drive the nation's economic recovery.

b. **Improve student achievement through school improvement and reform.** *ARRA* funds should be used to improve student achievement, and help close the achievement gap. In addition, the SFSF requires progress on four reforms previously authorized under the bipartisan Elementary and Secondary Education Act and the America Competes Act of 2007:

   1. Making progress toward rigorous college- and career-ready standards and high-quality assessments that are valid and reliable for all students, including English language learners and students with disabilities;

   2. Establishing pre-K-to college and career data systems that track progress and foster continuous improvement;

   3. Making improvements in teacher effectiveness and in the equitable distribution of qualified teachers for all students, particularly students who are most in need;

   4. Providing intensive support and effective interventions for the lowest-performing schools.

c. **Ensure transparency, reporting and accountability.** To prevent fraud and abuse, support the most effective uses of *ARRA* funds, and accurately measure and track results, recipients must publicly report on how funds are used. Due to the unprecedented scope and importance of this investment, *ARRA* funds are subject to additional and more rigorous reporting requirements than normally apply to grant recipients.

d. **Invest one-time *ARRA* funds thoughtfully to minimize the "funding cliff."** *ARRA* represents a historic infusion of funds that is expected to be temporary. Depending on the program, these funds are available for only two to three years. These funds should be invested in ways that do not result in unsustainable continuing commitments after the funding expires.

Awarding *IDEA* Part B Grants to States and Preschool Grants Recovery Funds

- The Department of Education plans to award 50 percent of the *IDEA*, Part B Grants to States and Preschool Grants recovery funds to SEAs by the end of March 2009. The other 50 percent will be awarded by Oct. 1, 2009. These awards will be in addition to the regular Fiscal Year (FY) 2009 Part B Grants to States and Preschool Grants awards that will be made on July 1 (Grants to States and Preschool Grants) and Oct. 1, 2009 (Grants to States only). Together, these grant awards will constitute a state's total FY 2009 Part B Grants to States and Preschool Grants allocations.
- A state does not need to submit a new application to receive the first 50 percent of the Part B Grants to States and Preschool Grants recovery funds because these funds will be made available to each state based on the state's eligibility established for FY 2008 Part B funds. The assurances in the state's FY 2008 application will apply to these recovery funds. In order to receive the remaining 50 percent of *IDEA*, Part B recovery funds, a state must

- The additional *IDEA* funds provided under the *ARRA* do not increase the amount a state would otherwise be able to reserve for state administration or other state-level activities under its regular grants to states FY 2009 award.
- LEA eligibility for the first 50 percent of the *IDEA* recovery funds is based on eligibility established by the LEA for FY 2008 funds.
- In accordance with the goals of the *ARRA*, a state should obligate *IDEA* recovery funds to LEAs expeditiously. A state should make the Part B Grants to States and Preschool Grants recovery funds that it receives in March available to LEAs by the end of April 2009.
- Similarly, an LEA should use the *IDEA* recovery funds expeditiously. An LEA should obligate the majority of these funds during school years 2008–09 and 2009–10 and the remainder during school year 2010–11. States may begin obligating *IDEA*, Part B recovery funds immediately upon the effective date of the grant. All *IDEA* recovery funds must be obligated by Sept. 30, 2011.

Uses of *IDEA*, Part B Recovery Funds

All *IDEA* recovery funds must be used consistently with the current *IDEA*, Part B statutory and regulatory requirements and applicable requirements in the *General Education Provisions Act* (*GEPA*) and the Education Department General Administrative Regulations (EDGAR). An LEA must use *IDEA* recovery funds only for the excess costs of providing special education and related services to children with disabilities, except where *IDEA* specifically provides otherwise.

- The *IDEA* recovery funds constitute a large one-time increment in *IDEA*, Part B funding that offers states and LEAs a unique opportunity to improve teaching and learning and results for children with disabilities. Generally, funds should be used for short-term investments that have the potential for long-term benefits, rather than for expenditures the LEAs may not be able to sustain once the recovery funds are expended. Some possible uses of these limited-term *IDEA* recovery funds that are allowable under *IDEA* and aligned with the core reform goals for which states must provide assurances under SFSF include:
    - Obtain state-of-the art assistive technology devices and provide training in their use to enhance access to the general curriculum for students with disabilities.
    - Provide intensive district-wide professional development for special education and regular education teachers that focuses on scaling-up, through replication, proven and innovative evidence-based school-wide strategies in reading, math, writing and science, and positive behavioral supports to improve outcomes for students with disabilities.
    - Develop or expand the capacity to collect and use data to improve teaching and learning.
    - Expand the availability and range of inclusive placement options for preschoolers with disabilities by developing the capacity of public and private preschool programs to serve these children.
    - Hire transition coordinators to work with employers in the community to develop job placements for youths with disabilities.

Invitation for Waivers

- The Secretary intends to issue regulations to allow reasonable adjustments to the limitation on state administration expenditures to help states defray the costs of *ARRA* data collection requirements.

***IDEA*, Part B Fiscal Issues**

- An LEA may be able to reduce the level of state and local expenditures otherwise required by the *IDEA* LEA maintenance of effort (MOE) requirements. Generally, under section 613(a)(2)(C), in any fiscal year that an LEA's *IDEA* allocation exceeds the amount the LEA received in the previous year, under certain circumstances, the LEA may reduce the level of state and local expenditures by up to 50 percent of the amount of the increase, as long as the LEA uses those freed-up local funds for activities that could be supported under the *ESEA*. If an LEA takes advantage of this provision, the required MOE for future years is reduced consistent with the reduction it took, unless the LEA increases the amount of its state and local expenditures on its own. SEAs should encourage LEAs that can and do take advantage of this flexibility to focus the freed-up local funds on one-time expenditures that will help the state make progress on the goals in the SFSF program, such as improving the equitable distribution of effective teachers and the quality of assessments. SEAs will be expected to collect and report information on the use of the freed-up funds.
- Alternatively, an LEA may (or in some cases must) use up to 15 percent of its total *IDEA*, Part B Grants to States and Preschool Grants for early intervention services for children in grades K through 12 who are not currently identified as children with disabilities, but who need additional academic and behavioral support to succeed in a general education environment. However, an LEA may use only up to 15 percent of its allocation minus any amount (on a dollar-for-dollar basis) by which the LEA reduced its required state and local expenditures under section 613(a)(2)(C).
- State-level MOE may be waived under Part B of the *IDEA* by the Secretary of Education on a state-by-state basis, for a single year at a time, for exceptional or uncontrollable circumstances, such as a natural disaster or a precipitous and unforeseen decline in the financial resources of a state. LEA-level MOE may not be waived.
- With prior approval from the Secretary of Education, a state or LEA may count SFSF (but not *IDEA* recovery funds) under the *ARRA* that are used for special education and related services as non-federal funds for purposes of determining whether the state or LEA has met the *IDEA*, Part B MOE requirements. (See separate fact sheet on SFSF for more information.)

Accountability Principles

As with all federal funds, states and LEAs are responsible for ensuring that the *IDEA*, Part B recovery funds are used prudently and in accordance with the law.

- *ARRA* requires that recipients of funds made available under that act separately account for, and report on, how those funds are spent and the results of those expenditures.

- The President and the Secretary are committed to ensuring that *ARRA* dollars are spent with an unprecedented level of transparency and accountability. The administration will post reports on *ARRA* expenditures on the Recovery.gov Web site.

Additional Information

- The Department will provide updates as additional information becomes available regarding the details of the *IDEA* recovery funds.
- The Department will also provide further information on the government-wide data collection and reporting requirements as this information becomes available.
- If you have questions about these provisions or ideas you would like to share about innovative ways these funds could be used to improve teaching and learning, please e-mail them to: IDEARecovery.Comments@ed.gov. While we will not be able to respond to every question, all questions will be compiled and considered as we develop further guidance and Q and A documents.